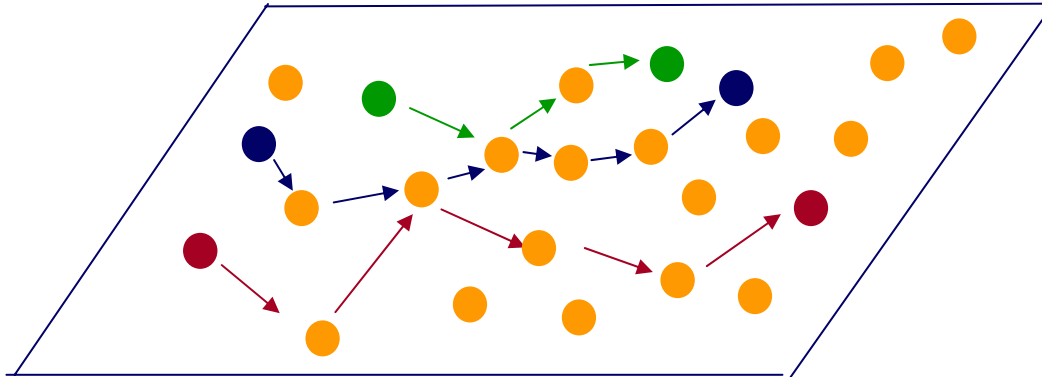


Power Allocation and Signaling Strategies for Cooperative Relay Networks

Ivana Marić and Roy D. Yates

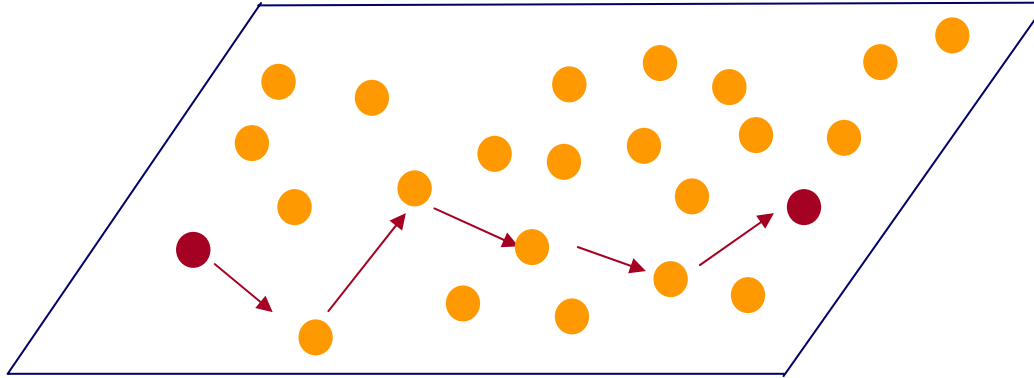


How To Cooperate?



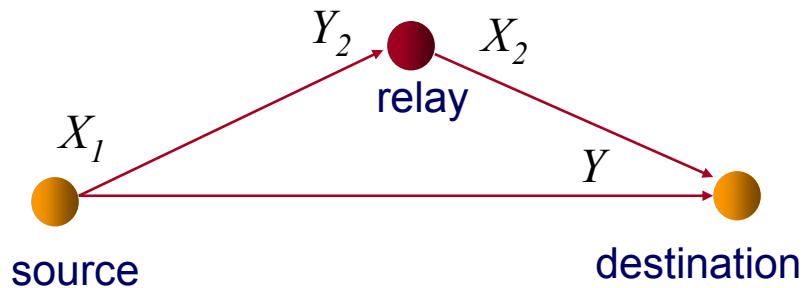
- One way to communicate in a wireless network: multihop through logical point-to-point links
 - Other signals considered to be interference
- Multiuser information theory: we can do better
- What is the best we can do?
 - Capacity region characterizing all achievable rates is an open problem

Multiple-Relay Channel



- Simpler scenario: Single source-destination
- Capacity is an open problem

Single-Relay Channel



- Causal Signaling:

$$x_{2,i} = f_i(y_{2,i-1}, \dots, y_{2,1})$$

- Introduced by van der Meulen in 1968
- Capacity is an open problem
- Fundamental ideas proposed by Cover and El Gamal in 1979
 - Exploited: Unreliably received signals, coherent combining

Proposed Relay Strategies

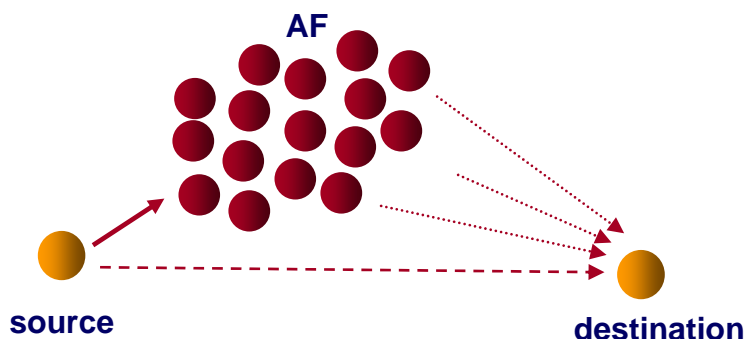
- **Decode-and-forward (DF):** *[Cover & El Gamal], [Willems], [Xie & Kumar]*
Relay decodes, re-encodes and transmits
 - Multiple-relay network: *multihopping [Gupta & Kumar],[Xie & Kumar], [Kramer, Gastpar & Gupta]*
- **Compress-and-forward (CF):** *[Cover & El Gamal]*
Relay transmits a compressed version of its channel output
 - Wyner-Ziv coding used to exploit side information at the destination
 - Multiple-relay network: *[Gastpar, Kramer & Gupta], [Kramer et. al.]*
- **Amplify-and-forward (AF):**
Relay transmits scaled version of its channel output

Capacity Results for the Multiple-Relay Channel

- Antenna-clustering capacity [*Gastpar, Kramer & Gupta*]



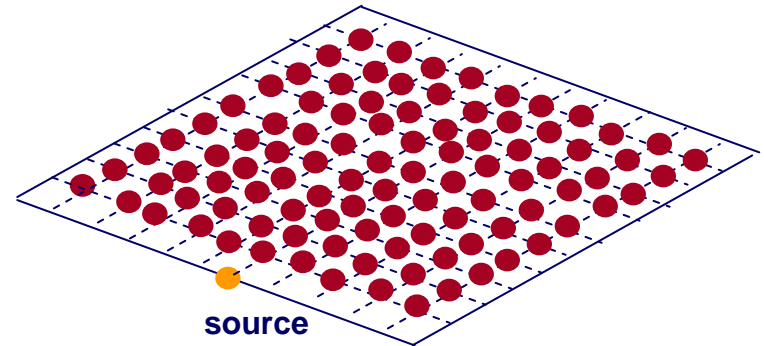
- Asymptotic capacity [*Gastpar & Vetterli*]



- Different:
 - Relay strategy
 - Relay positions

Motivation

- Sensor network:
 - Large number of nodes
 - Low-powered nodes
 - Very low data rates
 - Large bandwidth resources



How do we choose

- Relay strategy
- Set of relays
- Power allocation
- Bandwidth allocation

for

Multicast/Broadcast

- Multihop Transmission
- Decode-and-Forward
- Orthogonal Channels

Single Destination

Energy-Efficient Cooperative Broadcast

Broadcast at rate R to all network nodes such that an energy-efficiency metric is optimized

1. Minimize **Total Transmit Power** $\sum_i p_i$
2. Maximize **Network Lifetime**

Duration of a data session until the first node battery is drained
[Chang & Tassiulas, Marks et al., Kang et al.]

- Equivalent to: Minimize **Maximum Transmit Power**

Problem Solution

- In what **order** and with what **powers** nodes transmit?
- Determining optimum **powers** for a given order is easy
- Determining optimum **order**:
 - For minimum energy problem is NP-complete
 - For maximum lifetime simple!

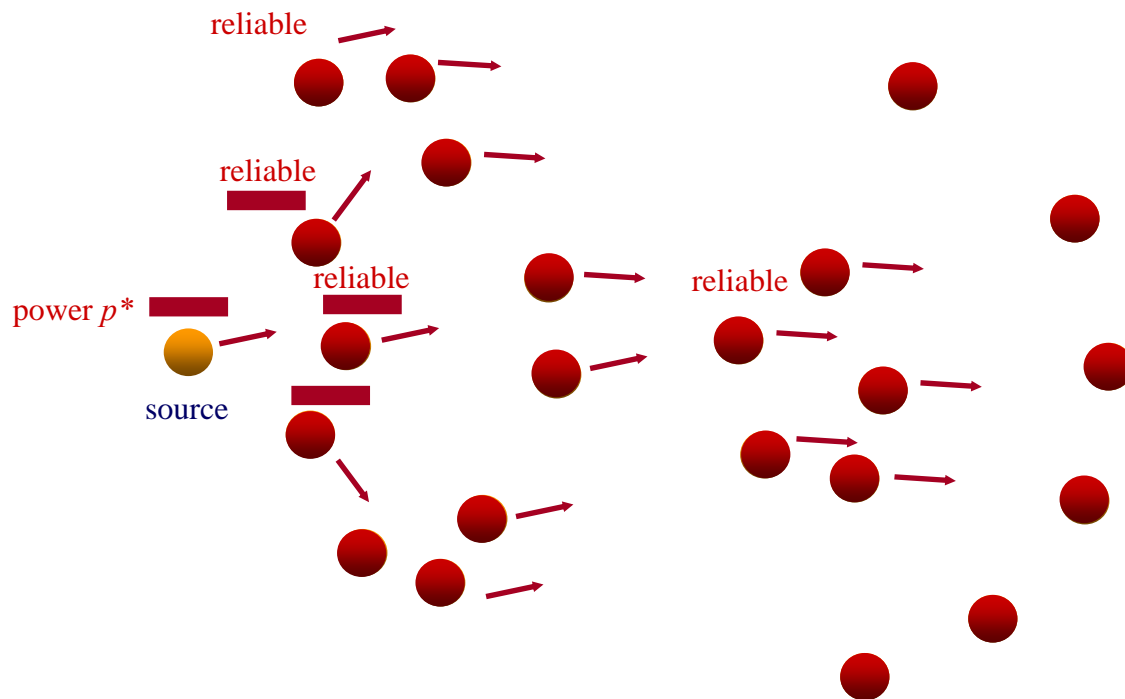
Minimum Total Power

$$\begin{aligned} \min \sum_i p_i \\ \mathbf{H}(\mathbf{X})\mathbf{p} &\geq \mathbf{1}P_T \\ \mathbf{p} &\geq \mathbf{0} \end{aligned}$$

Maximum Lifetime

$$\begin{aligned} \min \max p_i \\ \mathbf{H}(\mathbf{X})\mathbf{p} &\geq \mathbf{1}P_T \\ \mathbf{p} &\geq \mathbf{0} \end{aligned}$$

Optimal Solution for the Max Lifetime Problem



- MLAB algorithm finds the optimum p^*
- Node transmits with p^* **as soon as** it becomes reliable
- All nodes transmit with the same power p^*
- Nodes die at the same time

Outline

Sensor networks: Data rates are very low, bandwidth is free

How do we choose

- Set of relays
- Relay strategy
- Power allocation
- Bandwidth allocation

For



Multicast/Broadcast

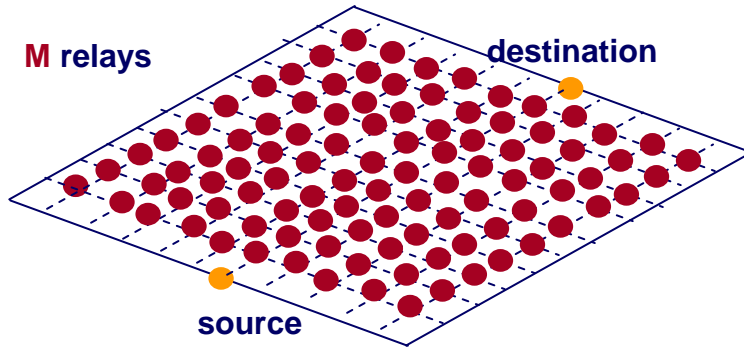
- Multihop Transmission
- Decode-and-Forward
- Orthogonal Channels
- ASAP
- Exploit unreliable receptions

Single Destination

Amplify-and-Forward: Two-Hop Protocol

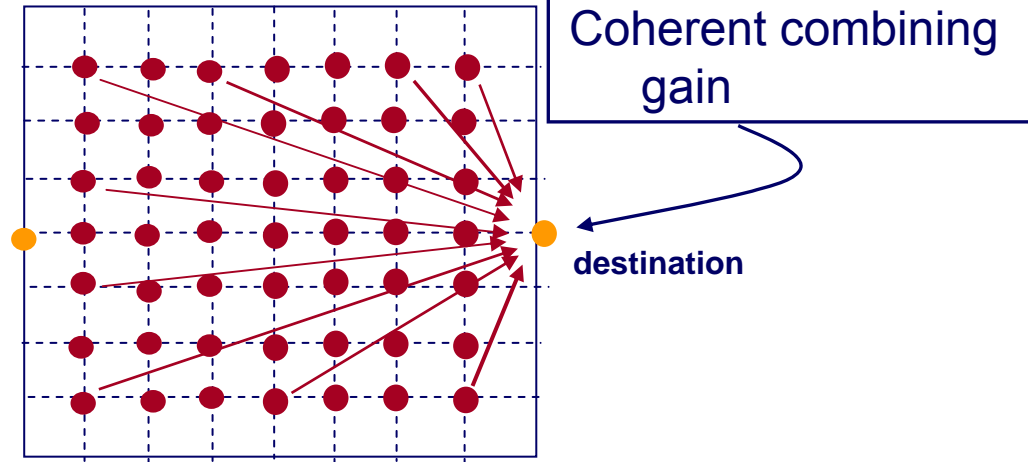
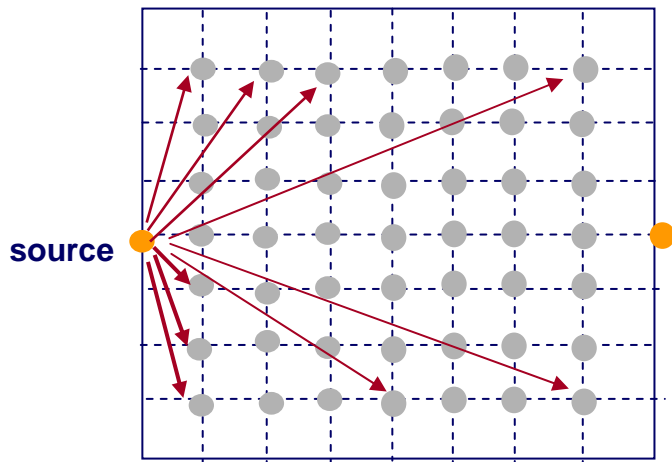
	AF	DF
CC		
⊥		

- Rate increases as $\log(M)$
[Gastpar & Vetterli]
- Power efficiency increases as \sqrt{M}
[Dana & Hassibi]
 - Dense network
- Two-hop protocol



first hop: source transmits

second hop: relays transmit noisy copies of the source input



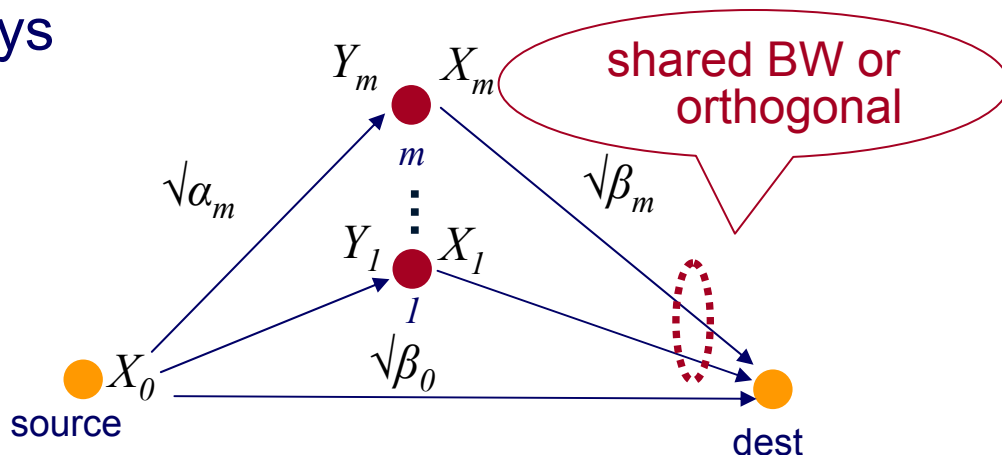
Two-Hop Model

	AF	DF
cc		
⊥		

- No communication among relays

- Received at relay m :

$$Y_m[n] = \sqrt{\alpha_m} X_0[n] + Z_m[n]$$



- Amplify-and-forward at relay m :

$$X_m[n] = \sqrt{b_m} Y_m[n - N / 2]$$

- Source power: $E[X_0^2] \leq P_0$
- Relay power: $E[X_m^2] \leq P_m$

Amplify-and-Forward: Achievable Rate

	AF	DF
cc		
⊥		

- Shared bandwidth



- Orthogonal channels



- Rate per user dimension

$$R_{AF} = \frac{1}{2} \log(1 + P_0 (\beta_0 + G(\mathbf{P})))$$

AF gain

- AF gain

Shared
bandwidth

$$G(\mathbf{P}) = \frac{\left(\sum_{m=1}^M \sqrt{\frac{\alpha_m \beta_m P_m}{\alpha_m P_0 + 1}} \right)^2}{\sum_{m=1}^M \frac{\beta_m P_m}{\alpha_m P_0 + 1} + 1}$$

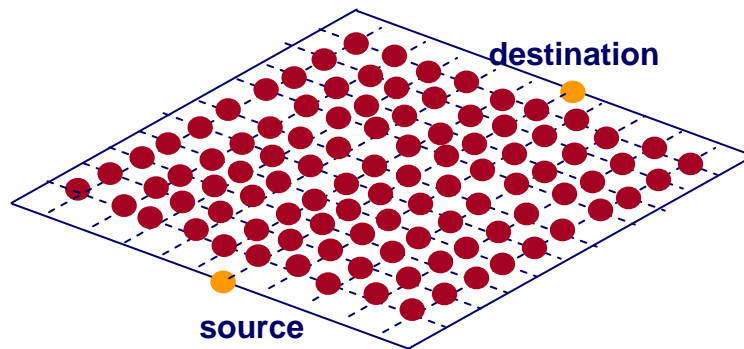
Orthogonal
channels

$$G(\mathbf{P}) = \sum_{m=1}^M \frac{\alpha_m \beta_m P_m}{\alpha_m P_0 + \beta_m P_m + 1}$$

Questions

	AF	DF
cc	■	□
⊥	■	□

- Best choice of relays and the optimum relay power allocation?
 - Optimum bandwidth?
-
- Are these the same for DF and AF?



Optimum Bandwidth?

	AF	DF
cc		
⊥		

- DF: wideband regime
 - Rate increases linearly with power

$$\lim_{W \rightarrow \infty} W \log_2 \left(1 + \frac{P}{WN_0} \right) = \frac{P}{N_0 \ln 2}$$

- Energy per bit $E_b = \frac{P}{r} \longrightarrow \left(\frac{E_b}{N_0} \right)_{\min}$ wideband regime

- AF: no benefit from relays in the wideband regime [Schein]

$$\lim_{W \rightarrow \infty} 2WR_{AF} = \frac{\beta_0 P_0}{N_0 \ln 2} \quad P_0 - \text{total source power}$$

→ Rate achieved by source transmission in the wideband regime

- AF rate $2WR_{AF}$ maximized for a finite bandwidth $W = W^*$

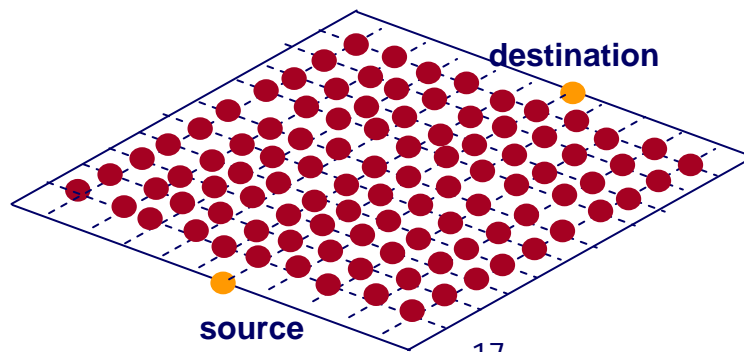
The AF Bandwidth/Power Relay Problem

	AF	DF
cc	■	□
⊥	■	□

- Maximize the achievable rate under the total power constraint

$$\begin{aligned} & \max_{W, \mathbf{P}} 2WR_{AF}(\mathbf{P}) \\ \text{s.t.} \quad & 2W \sum_{m=0}^M P_m \leq p, \\ & \mathbf{P} \geq 0, \\ & W \geq 0 \end{aligned}$$

- Relays signal in:
 - Shared bandwidth
 - Orthogonal channels



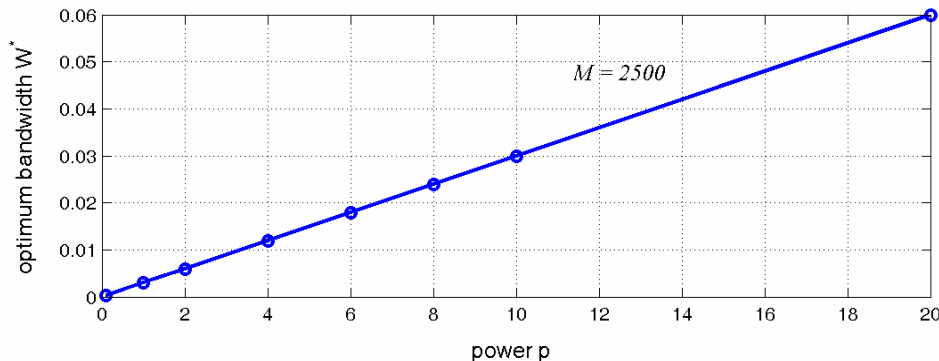
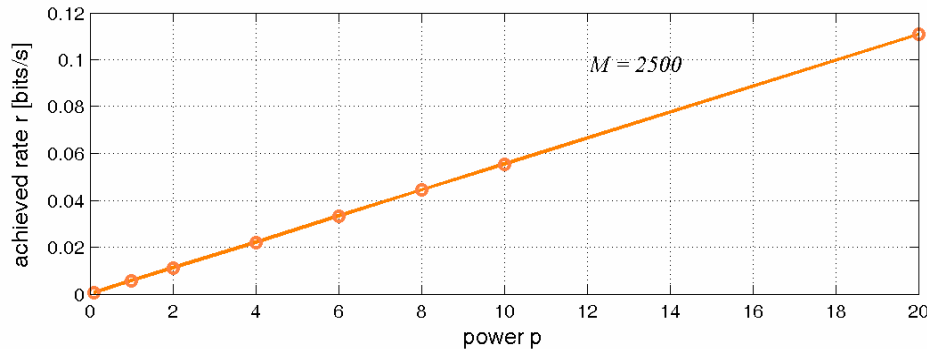
Solution to the AF Relay Problem

	AF	DF
cc	■	□
⊥	■	□

- From the K-T conditions:

$(P_0^*, P_1^*, \dots, P_M^*)$ independent from p and W^*

The maximum rate r^* , power p and the optimum bandwidth W^* have a linear relationship



- Numerical results: Achieved rate and optimum bandwidth for different values of p
- What are the optimum relay powers $(P_1^*, P_2^*, \dots, P_M^*)$?

Relay Power Allocation: Shared Bandwidth

	AF	DF
CC		
⊥		

- Relay power allocation problem equivalent to maximizing the AF gain

$$\begin{aligned} \max_{\mathbf{P}} \quad & G(\mathbf{P}) \\ \sum_{m=1}^M P_m & \leq P_R, \\ \mathbf{P} & \geq 0 \end{aligned}$$

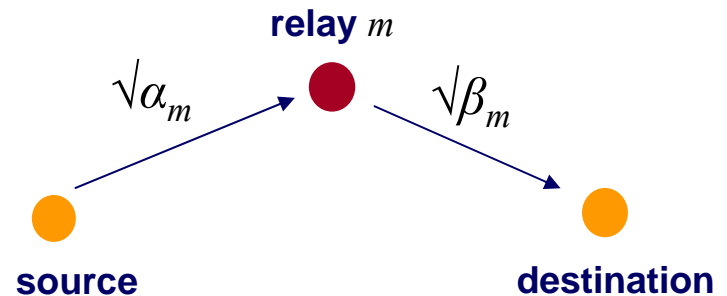
$$G(\mathbf{P}) = \frac{\left(\sum_{m=1}^M \sqrt{\frac{\alpha_m \beta_m P_m}{\alpha_m P_0 + 1}} \right)^2}{\sum_{m=1}^M \frac{\beta_m P_m}{\alpha_m P_0 + 1} + 1}$$

$$P_R = \frac{p}{2W} - P_0$$

- Optimum relay powers:

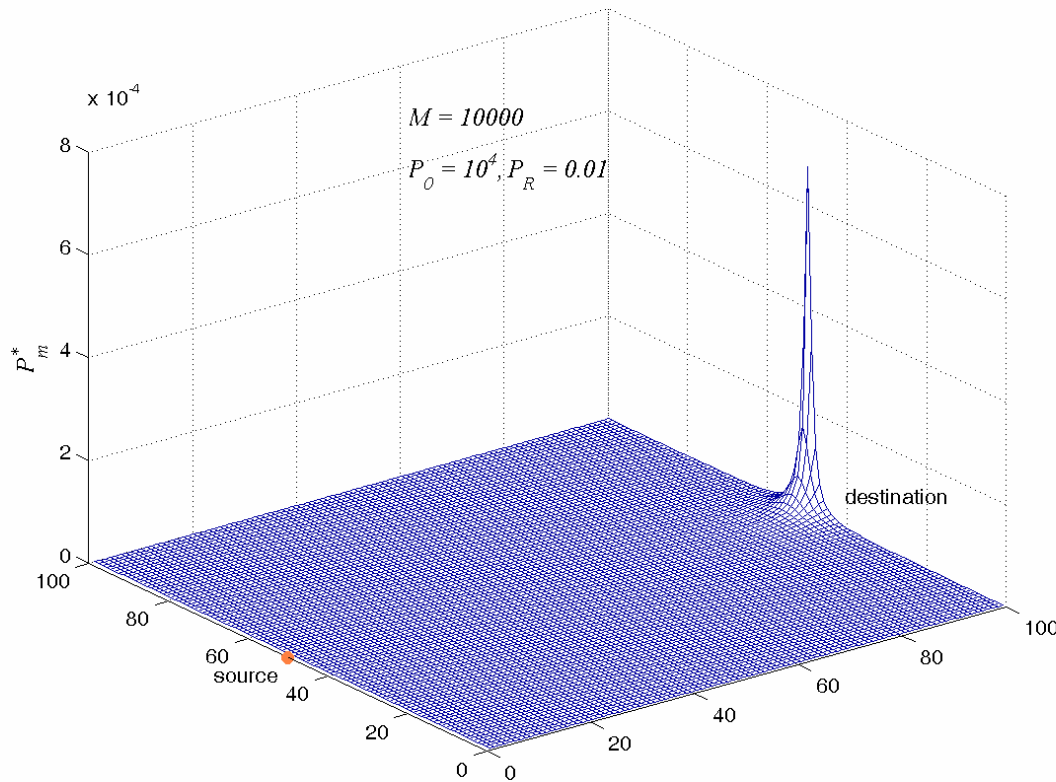
$$P_m^* = \frac{P_R \delta_m}{\sum_{k=1}^M \delta_k}$$

δ_m depends on α_m, β_m



Numerical Results: Relay Powers

	AF	DF
CC		
⊥		



- **High SNR at the relays**

Large source power,
small relay power

→ Improve MAC side:
use relays with strong
channel to the destination

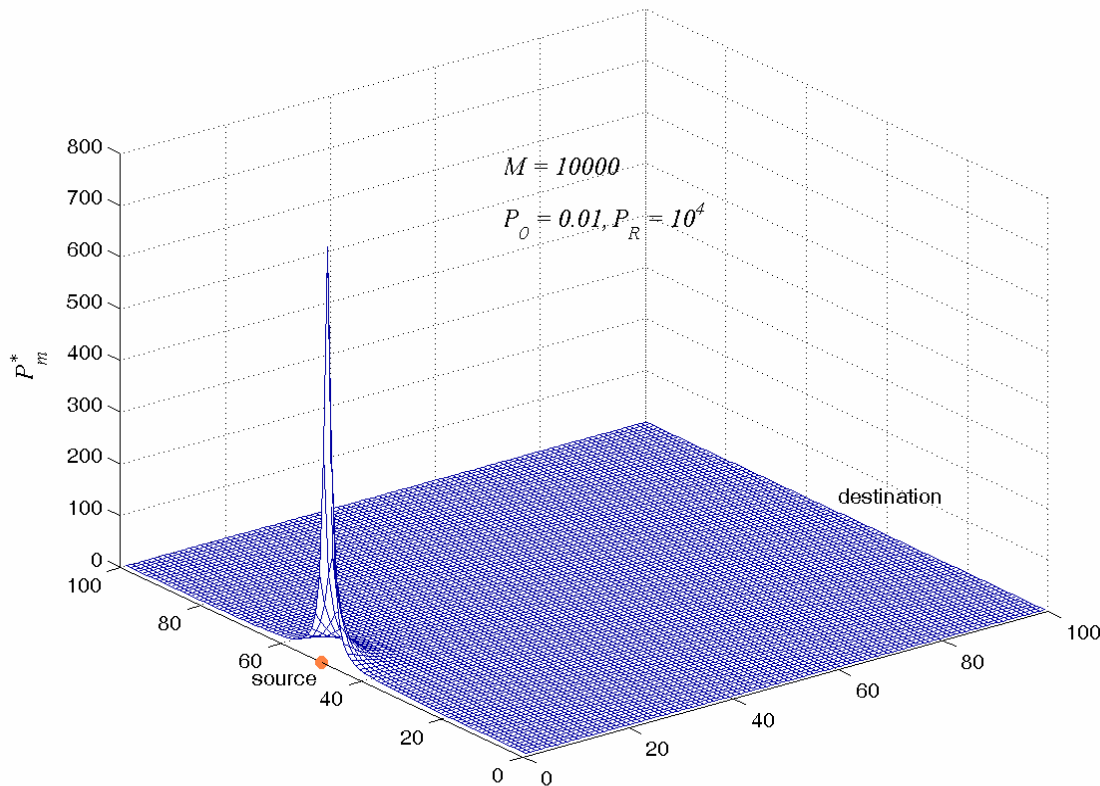
→ Powers:

$$P_m^* = \frac{P_R \beta_m}{\sum_{k=1}^M \beta_k}$$

Numerical Results: Relay Powers

	AF	DF
CC		
⊥		

- **Low SNR at the relays**
Small source power,
large relay power

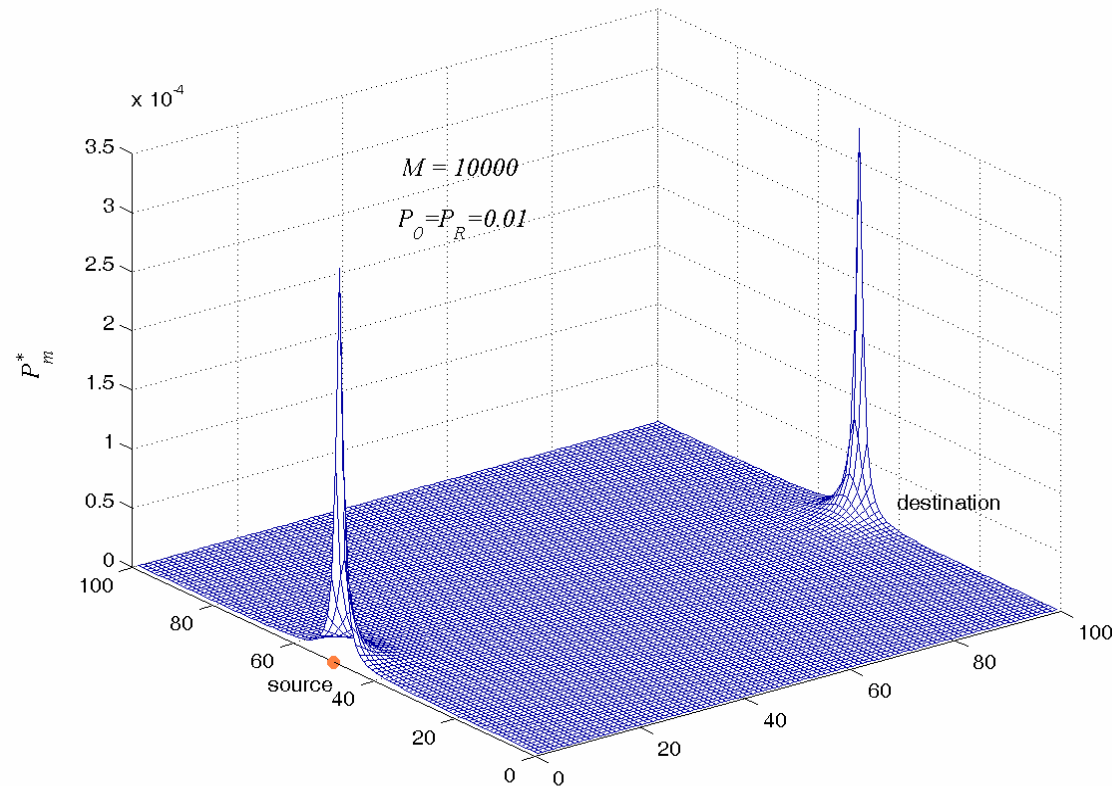


→ Improve BC side:
use relays with strong
source-relay channel

Numerical Results: Relay Powers

	AF	DF
CC		
⊥		

- Low SNR regime



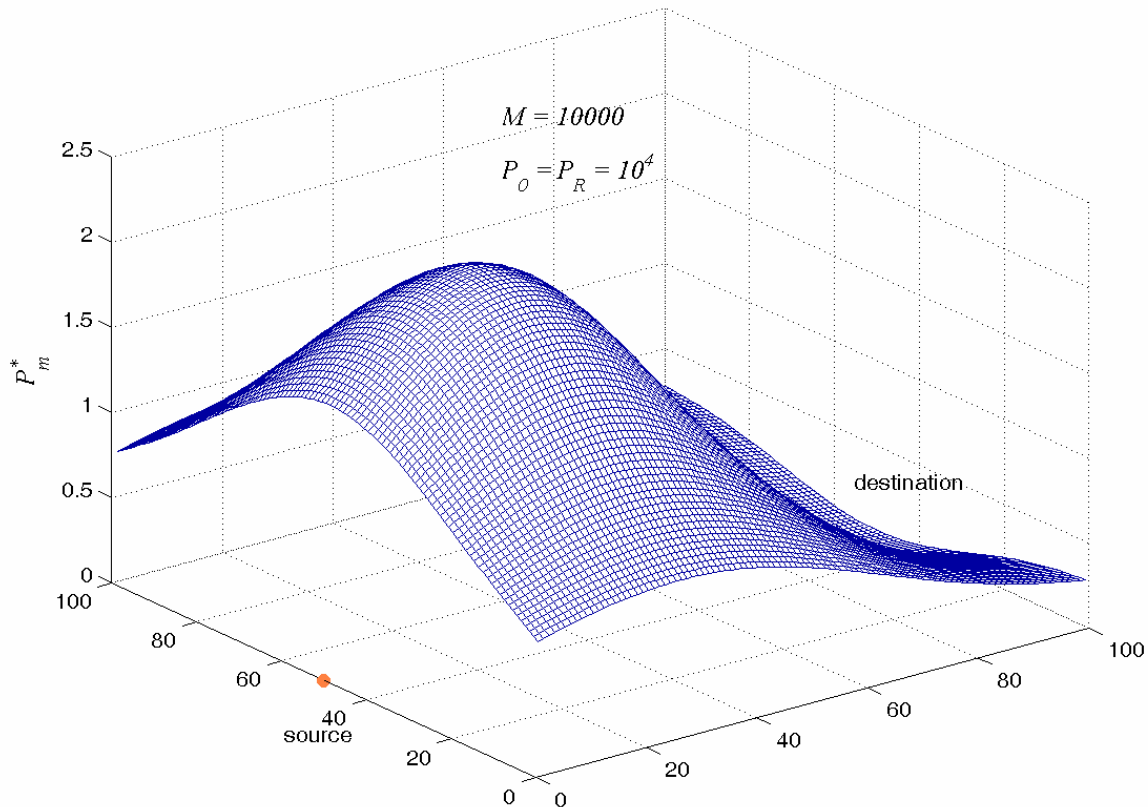
→ Powers:

$$P_m^* = \frac{\alpha_m \beta_m P_R}{\sum_{k=1}^M \alpha_k \beta_k}$$

Numerical Results: Relay Powers

	AF	DF
CC		
⊥		

- High-SNR regime
(small bandwidth)

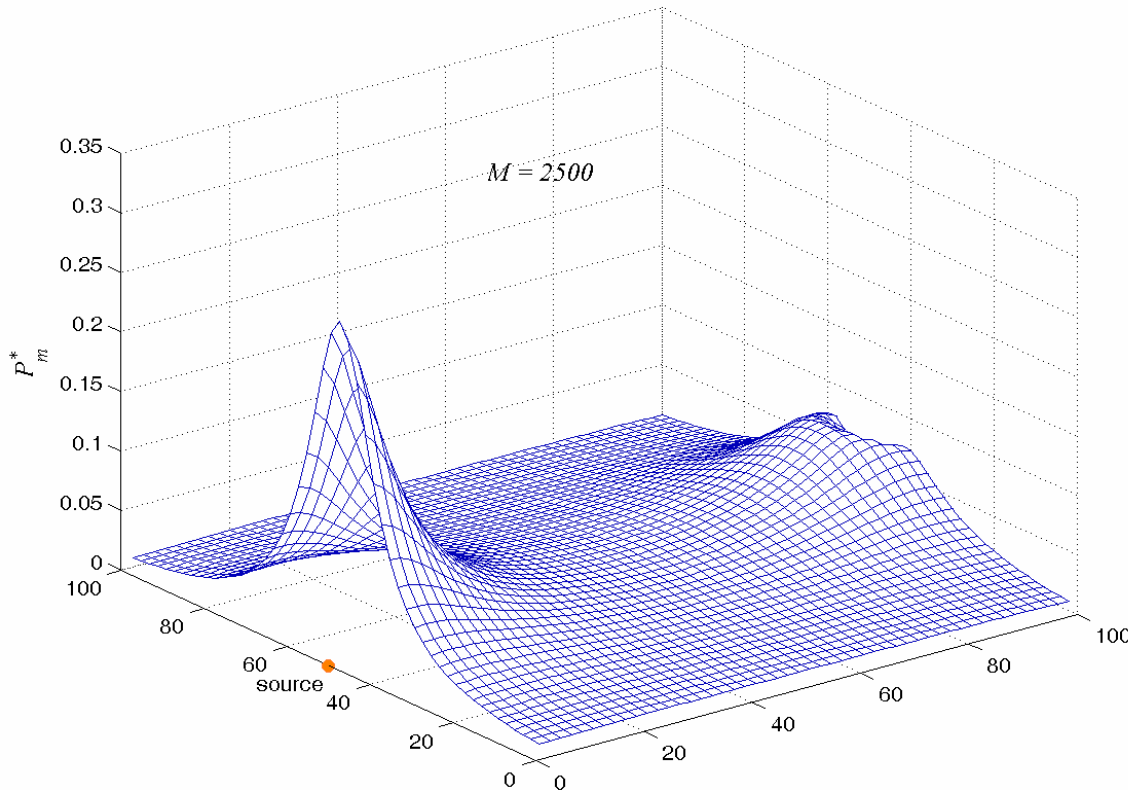


Numerical Results: Relay Powers

	AF	DF
CC		
⊥		

- Optimum relay powers for (P_0^*, W^*)

- Easy to find (W^*, P_0^*) numerically



$$P_m^* = \frac{P_R^* \delta_m}{\sum_{k=1}^M \delta_k}$$

Orthogonal Channels: Relay Power Allocation

	AF	DF
CC		
⊥		

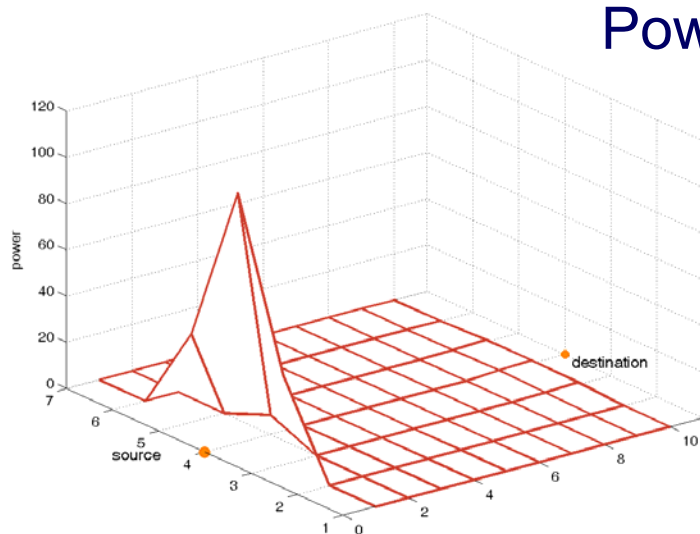
- Optimum relay powers:

$$P_k^* = \frac{\alpha_k}{\gamma_k} \left[\frac{1}{\sqrt{\eta}} - \frac{1}{\gamma_k} \right]^+$$

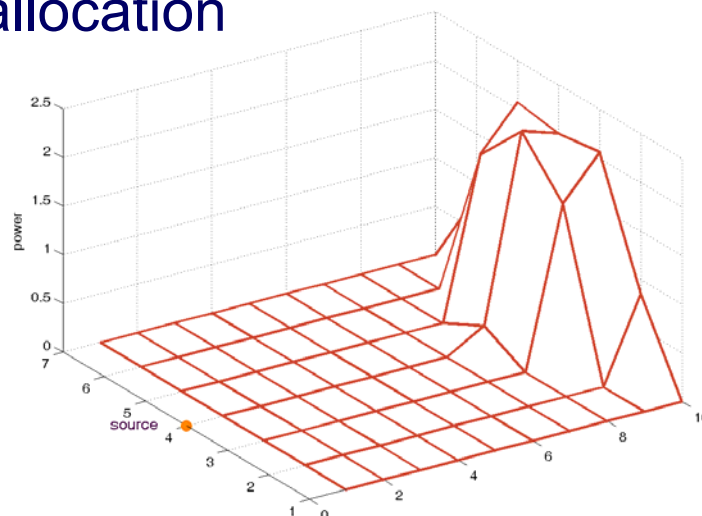
$$\gamma_k = \sqrt{\frac{\alpha_k \beta_k}{\alpha_k P_0 + 1}}$$

- Nodes with higher γ_k chosen to relay
- The optimum choice of relays strongly depends on P_0

Power allocation



small P_0

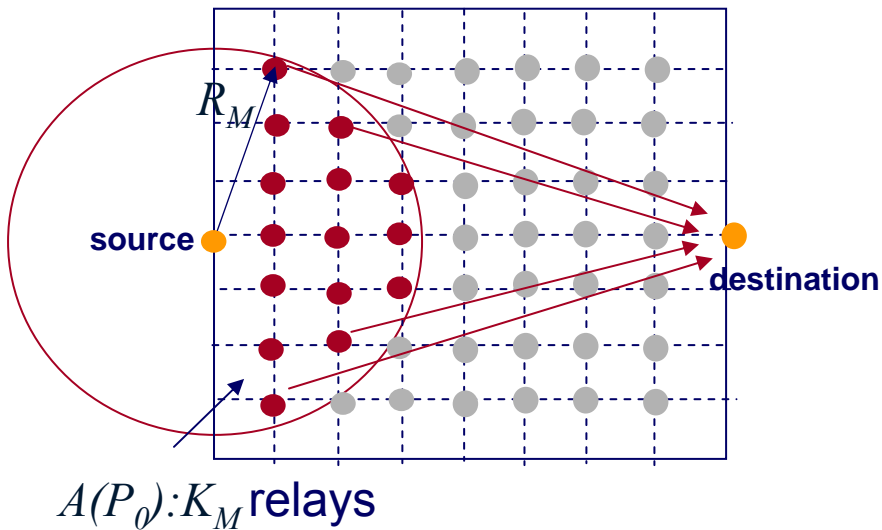


20

large P_0

Decode-and-forward: Shared bandwidth

	AF	DF
cc		
⊥		



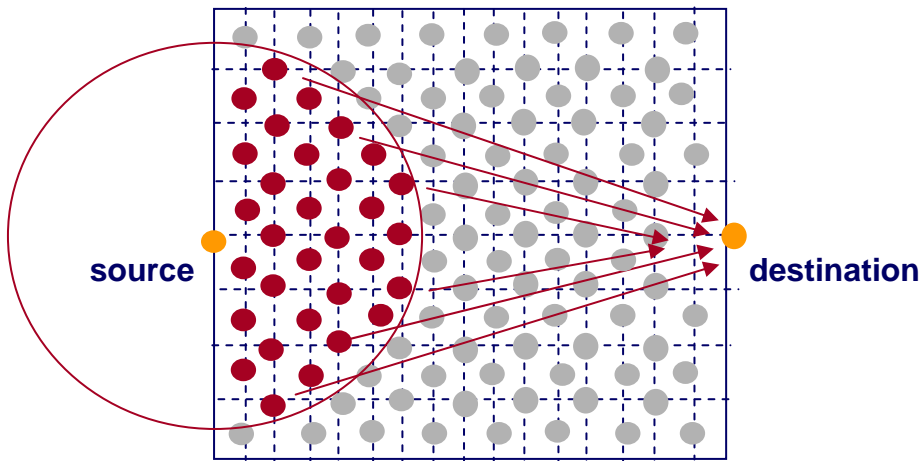
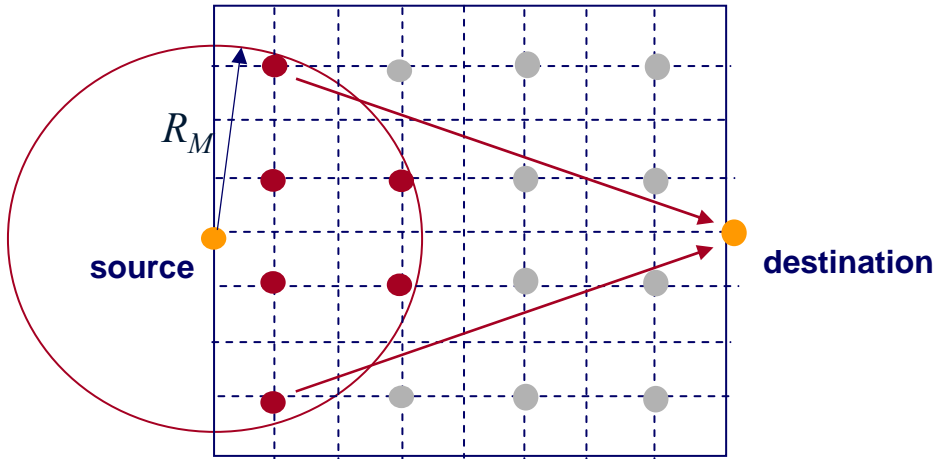
- Source transmits with power P_0
- Set of K_M relays coherently transmit
- Rate constrained by the worst source-relay link

$$R_M = \max_{m \in A(P_0)} d_m - \text{radius of reliable transmission}$$

- Rate $R_{DF} = \min \{ R_{BC}, R_{MAC} \}$

DF as the number of nodes increases

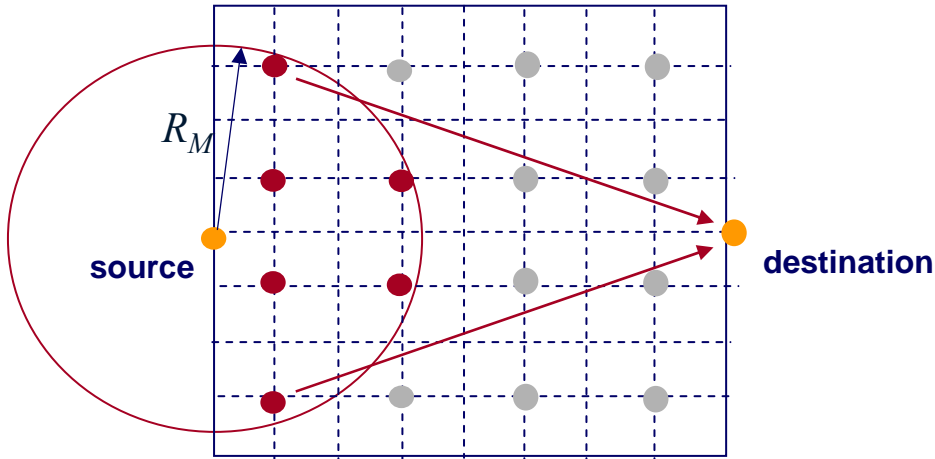
	AF	DF
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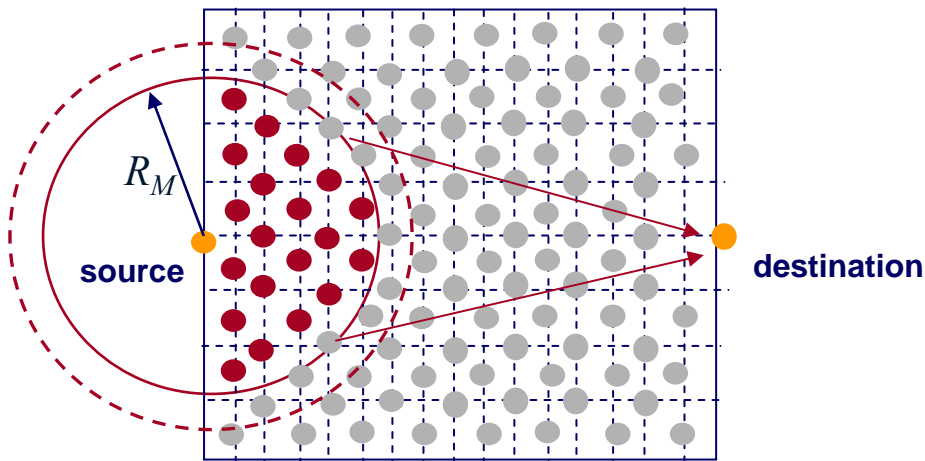
- As the node density increases:
 - R_{MAC} increases
 - To allow $R_{BC} = R_{MAC}$:
 - Increase R_{BC}
 - Decrease R_M
- Both rates increase
- At the source
 - “Beamforming cluster”
 - No “dead zone”

DF as the number of nodes increases

	AF	DF
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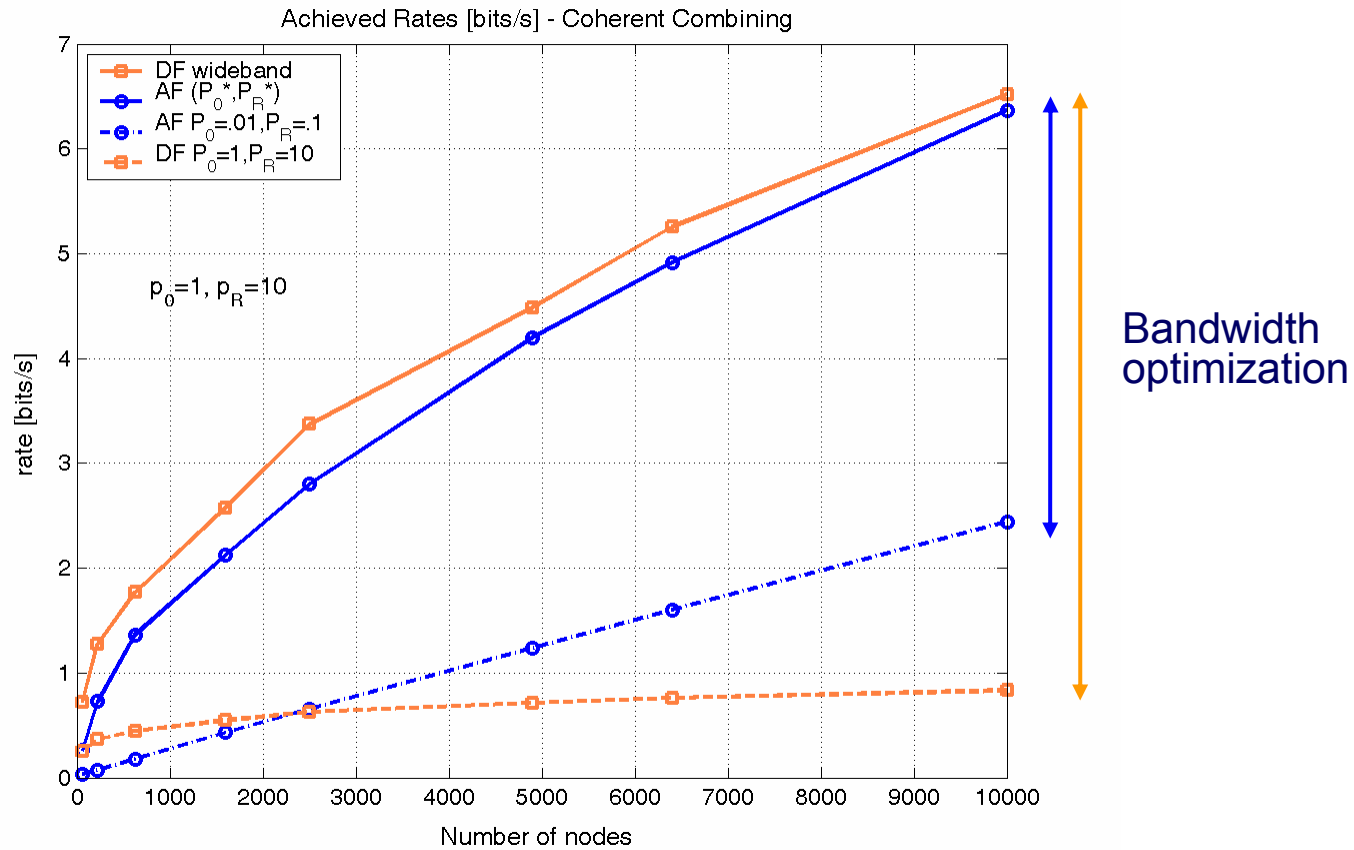
- As the node density increases:
 - R_{MAC} increases
 - To allow $R_{BC} = R_{MAC}^l$:
 - Increase R_{BC}
 - Decrease R_M



- Both rates increase
- At the source
 - “Beamforming cluster”
 - No “dead zone”

DF vs. AF comparison – dense network

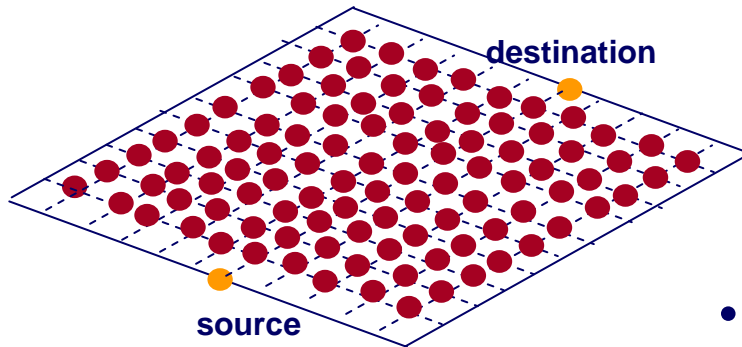
	AF	DF
CC		
⊥		



The DF strategy: Orthogonal channels

	AF	DF
cc		
⊥		

- Relay through only one node



$$k^* = \arg \min_{k \in U} \left[\frac{1}{\alpha_k} + \frac{1}{\beta_k} - \frac{\beta_0}{\alpha_k \beta_k} \right]$$

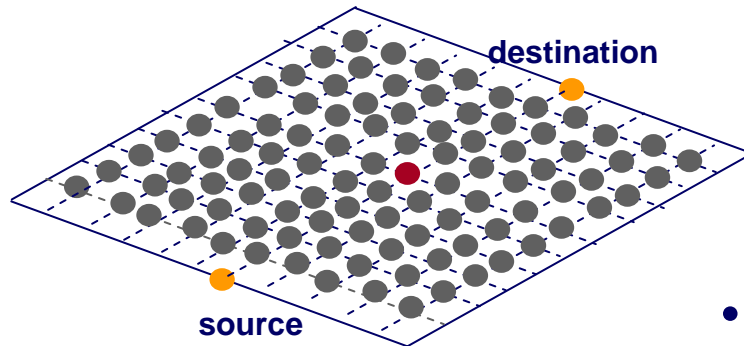
$$U = \{i \mid \alpha_i > \beta_0, \beta_i > \beta_0\}$$

- Consequence of the two-hop limitation
- No gain from large number of nodes

The DF strategy: Orthogonal channels

	AF	DF
cc		
⊥		

- Relay through only one node



$$k^* = \arg \min_{k \in U} \left[\frac{1}{\alpha_k} + \frac{1}{\beta_k} - \frac{\beta_0}{\alpha_k \beta_k} \right]$$

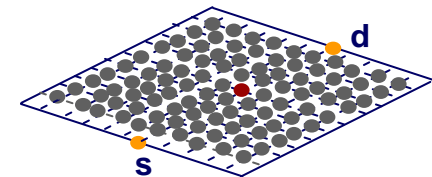
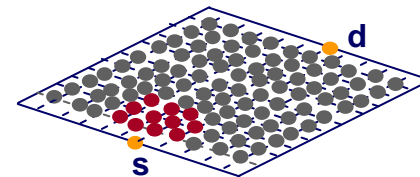
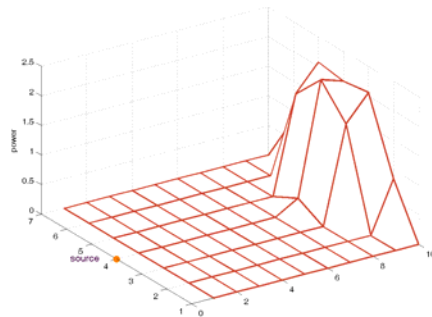
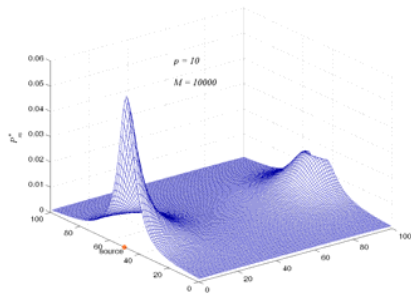
$$U = \{i \mid \alpha_i > \beta_0, \beta_i > \beta_0\}$$

- Consequence of the two-hop limitation
- No gain from large number of nodes

2-Hop Summary

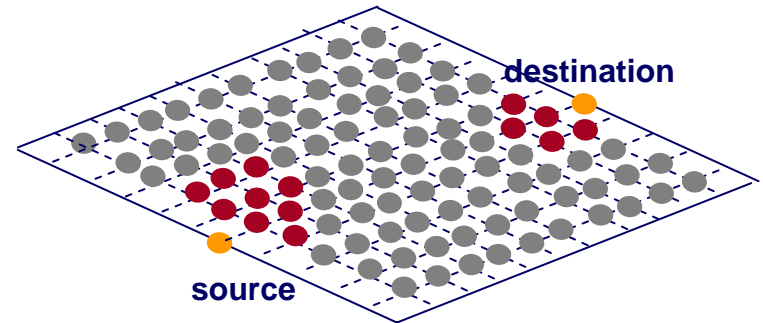
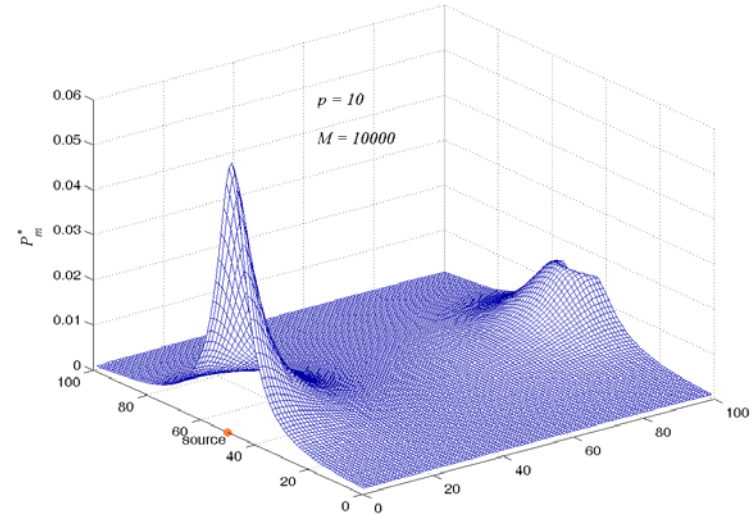
	AF	DF
cc	gray	yellow
\perp	gray	yellow

- Characterized the optimum bandwidth for amplify-and-forward
 - Operate in the linear regime
- AF in a shared bandwidth outperforms orthogonal signaling
- Different relay strategies employ different set of relays



Discussion

- Transmitter and Receiver clusters
- 3-Hop System
 - MIMO system between transmit cluster and receiver cluster
- What are the gains?
- What are the relay strategies?
- Working on: capacity results for channel models that incorporate node cooperation
 - Analytical solutions



Discussion

- Consider networks with large number of nodes
- Consider their building blocks

